**CIRCULAR LETTER**  
No. 313-04-1663c  
dated 18.11.2021


**Item(s) of supervision:**
ships under construction and in service

**Entry-into-force date:**  
01.01.2022

Cancels / amends / adds Circular Letter No. - dated -

**Number of pages:**  
1 + 3

**Appendices:**
- Appendix 1: information on amendments introduced by the Circular Letter
- Appendix 2: text of amendments to Part I "Technical Supervision" and Part II "Design, Equipment and Devices of Ships for Ballast Water and Sediments Management"

**Acting Director General**  
Sergey A. Kulikov

**Text of CL:**
We hereby inform that the Guidelines on Application of the Requirements of International Convention for the Control and Management of Ships' Ballast Water and Sediments, 2004, shall be amended as specified in the Appendices to the Circular Letter in connection with coming into force of IACS Unified Requirement (UR) Z17 (Rev.16 Aug 2021) and publication of new revisions of IMO circulars BWM.2/Circ.70/Rev.1 and BWM.2/Circ.42/Rev.2.

It is necessary to do the following:
1. Bring the content of the Circular Letter to the notice of the RS surveyors, as well as interested organizations in the area of the RS Branch Offices' activity.
2. Apply the provisions of the Circular Letter.

**List of the amended and/or introduced paras/chapters/sections:**
- Part I: paras 4.2.1.3, 4.2.2 and 4.3.1.1;
- Part II: para 3.1.1.5.1

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### Information on amendments introduced by the Circular Letter
(for inclusion in the Revision History to the RS Publication)

<table>
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<tr>
<th>Nos.</th>
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<td>1</td>
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GUIDELINES ON APPLICATION OF THE REQUIREMENTS OF INTERNATIONAL CONVENTION FOR THE CONTROL AND MANAGEMENT OF SHIPS’ BALLAST WATER AND SEDIMENTS, 2004, 2020,

ND No. 2-030101-030-E

PART I. TECHNICAL SUPERVISION

4 SHIPS SURVEYS FOR BALLAST WATER MANAGEMENT

1 Para 4.2.1.3 is replaced by the following text:

"3 installation commissioning procedure, and if required by the ship's flag MA, a written report confirming satisfactory operation of the ballast water treatment equipment in accordance with the requirements of IMO circular BWM.2/Circ.70/Rev.1 during commissioning testing based on research techniques specified in 4.8 of Part 4 of Annex to BWMS Code, or methods specified in Table 3 of the document BWM.2/Circ.42/Rev.2.;".

2 Para 4.2.2 is replaced by the following text:

"4.2.2 The availability of the documentation in compliance with 4.2.1, excluding 4.2.1.3, as well as the following documentation shall be checked during Certificate renewal surveys, intermediate and annual surveys;".

3 Para 4.3.1.1 is replaced by the following text:

"1 International Ballast Water Management Certificate (form 2.5.4) shall be issued to all ships that have the right to sail under the state flag of the Convention Party with 400 gross tonnage and above, excluding submersibles, floating craft, floating platforms, FSUs/FSOs and FPSOs, and performing voyages to ports or to the offshore terminals under jurisdiction of other Convention Parties. Validity period of the International Certificate is specified in regulation Е-5 of Annex to the Convention.

During installation of BWMS and prior to issue of the International Ballast Water Management Certificate (form 2.5.4), the procedure shall be performed in compliance with Section 8 of IMO resolution MEPC.174(58), MEPC.279(70) or the BWMS Code (depending on the IMO resolution the equipment has been approved), and if required by ship's flag MA, the commissioning testing involving sample collection shall be additionally conducted in accordance with the requirements of IMO circular BWM.2/Circ.70/Rev.1. When performing installation commissioning, firms engaged in sampling and analysis of ballast water and verification of the self-monitoring equipment during commissioning testing of ballast water management systems shall meet the requirements under Section 18 of Annex 1 to IACS UR Z17 (Rev.16 Aug 2021) "Procedural Requirements for Service Suppliers", which are implemented in Section 9 "Recognition of Service Suppliers" of Part I "General Regulations for Technical Supervision" of the Rules for Technical Supervision during Construction of Ships and Manufacture of Materials and Products for Ships.".
4 Para 3.1.1.5.1 is replaced by the following text:

"5.1 every access of the BWMS in all cases, except for maintenance defined by the manufacturer in the operating manual, shall require the breaking of a seal;".